

Application for Responsible Investment Certification (RIAC or RIPC)

Please complete this form to receive the Responsible Investment Advisor Certification (RIAC) or Responsible Investment Professional Certification (RIPC). Successful applicants will be notified within two business days and will receive a wall certificate within approximately four weeks.

1. Select Your Designation

Please mark an 'x' beside the designation for which you are applying:

	Responsible Investment Advisor Certification (RIAC) - <i>For RIA Advisor Members</i>
	Responsible Investment Professional Certification (RIPC) – <i>For RIA Professional Members</i>

2. Your Path to Certification

There are two paths to certification. Please mark an 'x' beside the path you have chosen:

	(A) I have completed the PRI Academy's Foundations in RI course.*
	(B) I have completed Concordia's SIPC program <u>and</u> the Canadian RI Fundamentals course.*

* Applicants must submit a Record of Completion or comparable document indicating successful completion of Foundations in RI or the SIPC program. Please enclose your document when you send this application

3. RIA Statement of Purpose

The Responsible Investment Association's purpose is to:

- Promote and support an integrated reporting framework in which there is standardized disclosure of material ESG information.
- Promote the integration of ESG factors into investment analysis and decision-making processes.
- Promote the practice of responsible investing in Canada.
- Support the responsible investment activities of its members.

I, _____, understand the RIA's purpose and support the RIA's objective of advancing responsible investment in Canada.

4. Requirements to Maintain Your Designation

Applicants must meet the following obligations to maintain the RIS designation:

1. Be a continuing RIA Member.
2. Complete six hours of continuing education activities on a biennial basis.
3. Be in good standing with any regulatory bodies you are part of.

I, _____, understand that I am required to meet the above obligations to maintain my RIAC/RIPC designation. Failure to meet these obligations will result in losing my designation.

5. Continuing Education (CE) Activities

RIAC/RIPC designation holders are required to complete six hours of CE activities every two years. The two-year time period in which the six hours of continuing education must be completed commences on January 1st of the year following the year the designation is received.

RIA Conference	Attending the RIA Conference every two years fulfills all of your CE requirements. Those who do not attend the Conference may choose from the options below.
Webinars	Participate in RIA webinars or choose from the RIA's library of webinars, which is available online at https://RIACanada.ca/webinars-ce .
ESG AfterWork	Attend the RIA's ESG AfterWork or other RIA educational & networking events.
Canadian RI Fundamentals	RIAC and RIPC recipients who chose educational path (A) above may take the Canadian RI Fundamentals course and apply it towards their continuing education requirements.
RI Week Events	Responsible Investment Week takes place each fall, with events in multiple cities. The event schedule will be available at http://riweek.ca .
Other RI events and activities	You're welcome to send us a request to apply other educational activities toward your CE requirement. We're flexible, as long as the activities are educational and relate to responsible investment.

I, _____, understand my continuing education options and obligations, and that RIA staff may request confirmation of my CE activities at any time.

6. Mailing Address

Please provide your mailing address below to receive your wall certificate.

Street address

City

Province/Territory

Postal Code

Print name

By signing below, you are indicating that you acknowledge and understand all obligations associated with your RIAC or RIPC designation as outlined above.

Signature

Date

Please send your completed, signed, and dated application form to staff@riacanada.ca.