

RIA Awards 2020 Results

Judge Name	COMBINED (11 Judges)	
Awards Category	Advocacy	Organizational
Awards Description	Recognizing RIA members who further the adoption and development of responsible investment practices among investment professionals by enabling the development of RI literacy and expertise, and implementing and enhancing RI policies, processes and principles. *Integration activities could include: - Projects/strategies designed to integrate RI into the individual's/ organization's investment practices - Improvements to internal processes, policies or staffing to support integration of RI into the individual's/ organization's investment practices - Staff training or education programs across different levels/areas of the organization to integrate RI into the individual's/ organization's investment practices	

Themes	Questions	Evaluation Criteria	WINNER	FINALIST	Score Range	
			SHARE - Shareholder Association for Research & Education Canada's Modern Slavery Legislation Initiative	Ivey Foundation Building Sustainable Finance Capacity in Canada	Min	Max
Originality						
Ambition	Describe your ambitions for the project/initiative. What challenges did you expect, and what limitations did you have to overcome to be successful? Provide a measure of the scale of this project/initiative relative to your resources (team size, AUM, time required).	How ambitious was the project, taking into consideration its scale relative to the organization and/or individual?	4.3	4.2	2.9	4.3
Innovation	Describe the innovative attributes of your project/initiative. How did your project/initiative take an innovative approach and/or deliver an innovative outcome?	Did the project or initiative take a particularly innovative approach or deliver an innovative outcome?	3.7	3.6	2.6	3.7
Uniqueness	Describe the unique attributes of your project/initiative. Were you seeking to shift public policy and regulation to support RI on an issue unaddressed in the marketplace, or on an issue that has previously been targeted?	Is the project or initiative solving an issue or problem in the market that was not previously well-addressed?	3.9	3.6	2.8	3.9
Theme Score			3.97	3.80	2.77	3.97
Design & Delivery						
Design	Identify the objectives, goals or success criteria you established for the project/initiative. The objectives could be quantitative and/or qualitative in nature. Include timelines, scope, stakeholders involved, target audience where relevant. How did you track your progress?	Is the project or initiative designed with a clear goal in mind?	4.5	3.7	3.3	4.5
Methodology	Describe the methodology or approach you took in developing the project/initiative.	Is the Award entrant's methodology or approach clear?	3.7	3.8	3.2	3.8
Accessibility	Describe how accessible your advocacy project/initiative was for the target audience. Did you communicate broadly about the project/initiative, or on a limited scale?	Was the advocacy initiative widely accessible, or only communicated on a limited scale?	4.0	4.3	2.6	4.3
Comprehensibility	Describe how you prepared the contents of the project/initiative to be understandable for your stakeholders.	Are the contents of the project or initiative prepared in a way that is understandable for the stakeholders?	3.8	3.5	3.5	3.8
Success	Describe the results or outcome of the project/initiative. Did you meet or exceed the success criteria you established for the project/initiative? What were your learnings from the project/initiative?	To what extent were the goals of the project or initiative achieved?	4.1	3.7	2.7	4.1
Theme Score			4.02	3.80	3.10	4.02
Influence						
Mainstream	Discuss how the project/initiative contributes to making RI a more dominant trend in the Canadian investment industry.	Did the initiative reach a target audience outside of the RI community?	3.8	3.9	2.6	3.9
Impact	Describe the impact of the project/ initiative. That what extent does the project/ initiative benefit the RI community at large, the Award entrant, the Award entrant's clients, and the financial markets as a whole (as applicable)? How was impact assessed?	Does the project or initiative benefit the RI community at large, the Award entrant alone, and/or the Award entrant clients, and the financial markets as a whole?	4.1	4.0	2.9	4.1
Momentum	Discuss your project/initiative's momentum. To what extent did the project/initiative build on existing activities and/or provide a direction for future activities? How does the project/initiative support your long term commitment to responsible investment?	Does the project or initiative build on existing activities and/or provide a direction for future activities? How does the project or initiative indicate a broader, long term commitment to responsible investment?	3.6	4.1	2.7	4.1
Theme Score			3.83	4.00	2.73	4.00
Final Score			11.82	11.60	8.60	11.82