

2021 RIA Leadership Awards Results

COMBINED (11 Judges)	
Awards Category	Stewardship
Awards Description	<p>Recognizing Organizational RIA members* who exemplify leading stewardship practices by leveraging their influence as investors to advance the widespread implementation of ESG practices, standards and policies/regulations that support RI objectives.</p> <p>Stewardship activities could include:</p> <ul style="list-style-type: none"> - Seeking to advance ESG practices among investee companies and issuers via proxy voting, corporate engagement, shareholder resolutions, and collaborative investor initiatives; - Seeking to shift public policy and regulation through engagement with policy makers and regulators, responding to RI related consultations, and participating collaboratively with other investors to advance the widespread implementation of policies that support RI; - Seeking to advance the integrity and availability of standards for ESG data, disclosures and/or reporting, including engagements with standards setting bodies, responding to ESG standards-related consultations, and collaborative activities with other investors - Actively monitoring (1) ESG performance among investee companies and issuers and (2) new policies/regulations/standards.

		WINNER	FINALIST	Range of scores	
		BMO Global Asset Management	British Columbia Investment Management Corporation (BCI)	Minimum	Maximum
Themes	Questions	Diversity and inclusion (D&I) engagement in Canada: moving beyond gender	BCI's Leading Stewardship in Board Governance and Climate-related Disclosure Practices		
Originality					
Ambition	Describe your ambitions for the project/initiative. What challenges did you expect, and what limitations did you have to overcome to be successful? Provide a measure of the scale of this project/initiative relative to your resources (team size, AUM, time required).	3.9	3.4	3.0	3.9
Innovation	Describe the innovative attributes of your project/initiative. How did your project/initiative take an innovative approach and/or deliver an innovative outcome?	3.5	3.4	2.8	3.5
Uniqueness	Describe the unique attributes of your project/initiative. Were you seeking to advance ESG/RI policies, standards or practices that support RI objectives on an issue not adequately addressed in the marketplace, or on an issue that has previously been targeted?	4.0	3.5	2.4	4.0
Theme Score		3.79	3.39	2.73	3.79
Design & Delivery					
Design	Identify the objectives, goals or success criteria you established for the project/initiative. The objectives could be quantitative and/or qualitative in nature. Include timelines, scope, stakeholders involved, target audience where relevant. How did you track your progress?	3.9	3.6	3.3	4.3
Methodology	Describe the methodology or approach you took in developing the project/initiative.	3.6	4.0	3.4	4.0
Accessibility	Describe how accessible your advocacy project/initiative was for the target audience. Did you communicate broadly about the project/initiative, or on a limited scale?	3.9	3.6	2.9	3.9
Comprehensibility	Describe how you prepared the contents of the project/initiative to be understandable for your stakeholders.	3.7	3.5	3.2	3.7
Success	Describe the results or outcome of the project/initiative. Did you meet or exceed the success criteria you established for the project/initiative? What were your learnings from the project/initiative?	3.3	4.2	2.4	4.3
Theme Score		3.69	3.80	3.09	3.80
Influence					
Mainstream	Discuss how the project/initiative contributes to making RI a more dominant trend in the Canadian investment industry.	3.5	3.3	2.9	3.5
Impact	Describe the impact of the project/ initiative. That what extent does the project/ initiative benefit the RI community at large, the Award entrant, the Award entrant's clients, and the financial markets as a whole (as applicable)? How was impact assessed?	3.8	3.8	3.0	3.8
Momentum	Discuss your project/initiative's momentum. To what extent did the project/initiative build on existing activities and/or provide a direction for future activities? How does the project/initiative support your long term commitment to responsible investment?	3.7	3.9	3.3	3.9
Theme Score		3.70	3.67	3.09	3.70
Final Score		11.18	10.86	8.91	11.18