

## 2021 RIA Leadership Awards Results

COMBINED (8 Judges)	
Awards Category	<b>Service Leadership</b>
<b>Awards Description</b>	Service Leadership: Advancing market practices to support RI
	Recognizes Organizational RIA members* who, as ESG/RI service providers, further the adoption and implementation of best practices, standards and policies/regulations that support ESG/RI objectives.
	Eligible activities could include: - Education for investors, advisors or the public, including campaigns, publications or thought leadership to advance the understanding of ESG issues and/or encourage the adoption of ESG/RI best practices; - Products and services that enable clients to enhance their ESG knowledge and ESG/RI integration practices; - Seeking to advance ESG practices among companies using shareholder resolutions, corporate engagement, proxy voting and collaborative investor initiatives; - Seeking to advance the integrity and availability of ESG standards for data, disclosures and/or reporting; - Seeking to shift public policy and regulation through engagement with policy makers and regulators, responding to RI related consultations, and participating collaboratively with investors to advance the widespread implementation of policies that support RI.

		WINNER		FINALIST	
		SHARE / Atkinson Fdn.	MSCI	Minimum	Maximum
<b>Themes</b>	<b>Questions</b>	<b>Valuing Decent Work</b>	<b>Advancing Climate Investing in Canada: Equipping Institutional Investors with Critical Climate Tools</b>		
<b>Originality</b>					
<b>Ambition</b>	Describe your ambitions for the project/initiative. What challenges did you expect, and what limitations did you have to overcome to be successful? Provide a measure of the scale of this project/initiative relative to your resources (team size, AUM, time required).	4.4	3.6	2.3	4.4
<b>Innovation</b>	Describe the innovative attributes of your project/initiative. How did your project/initiative take an innovative approach and/or deliver an innovative outcome?	3.8	3.6	1.8	3.8
<b>Uniqueness</b>	Describe the unique attributes of your project/initiative. Were you seeking to advance ESG/RI policies, standards or practices that support RI objectives on an issue not adequately addressed in the marketplace, or on an issue that has previously been targeted?	4.3	3.8	2.0	4.3
<b>Theme Score</b>		<b>4.13</b>	<b>3.67</b>	<b>2.00</b>	<b>4.13</b>
<b>Design &amp; Delivery</b>					
<b>Design</b>	Identify the objectives, goals or success criteria you established for the project/initiative. The objectives could be quantitative and/or qualitative in nature. Include timelines, scope, stakeholders involved, target audience where relevant. How did you track your progress?	4.1	3.1	2.3	4.1
<b>Methodology</b>	Describe the methodology or approach you took in developing the project/initiative.	3.5	3.5	2.3	3.5
<b>Accessibility</b>	Describe how accessible your advocacy project/initiative was for the target audience. Did you communicate broadly about the project/initiative, or on a limited scale?	3.6	3.4	2.6	3.6
<b>Comprehensibility</b>	Describe how you prepared the contents of the project/initiative to be understandable for your stakeholders.	3.3	3.3	2.1	4.0
<b>Success</b>	Describe the results or outcome of the project/initiative. Did you meet or exceed the success criteria you established for the project/initiative? What were your learnings from the project/initiative?	4.6	2.9	2.0	4.6
<b>Theme Score</b>		<b>3.83</b>	<b>3.23</b>	<b>2.25</b>	<b>3.83</b>
<b>Influence</b>					
<b>Mainstream</b>	Discuss how the project/initiative contributes to making RI a more dominant trend in the Canadian investment industry.	3.8	2.6	2.6	3.8
<b>Impact</b>	Describe the impact of the project/ initiative. That what extent does the project/ initiative benefit the RI community at large, the Award entrant, the Award entrant's clients, and the financial markets as a whole (as applicable)? How was impact assessed?	3.9	3.8	2.1	3.9
<b>Momentum</b>	Discuss your project/initiative's momentum. To what extent did the project/initiative build on existing activities and/or provide a direction for future activities? How does the project/initiative support your long term commitment to responsible investment?	3.8	4.0	2.1	4.0
<b>Theme Score</b>		<b>3.79</b>	<b>3.46</b>	<b>2.38</b>	<b>3.79</b>
<b>Final Score</b>		<b>11.74</b>	<b>10.35</b>	<b>6.63</b>	<b>11.74</b>